**Report 1B: Redressal of Complaints lodged by clients against Trading Members (TMs) during 2008-09: Updated on 09.30.2009**

| Sl. No. | Name of the TM | Defaulter (Yes/No) | No. of UCCs at the beginning of the year | No. of Complaints received against the TM* | Of the Complaints received during 2008-09 | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | No. of Complaints | | | | | | | |
| | | | | | Resolved through the Exchange /IGRC | Non actionalble** | Arbitration Advised | Pending for redressal with Exchange | No. of Arbitration filed by Clients | Decided by the Arbitration | Decided by Arbitration in favor of the clients | Pending for Redressal with Arbitrators |
| 1 | Deval N. Sheth | No | 1 | 1 | Yes | | | | | | | |
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*including against its sub-brokers, authorized persons, employees, etc.

**Non actionable means the complaint that are incomplete / outside the scope of stock exchange

(Arrange the Trading members in descending number of complaints filed against them during the period)