

**Report 1C: Report of Redressal of Complaints lodged by clients against Trading Members (TMs) during 2009-10:
Updated on 09.30.2009**

Sl. No.	Name of the TM	Defaulter (Yes/No)	No. of UCCs at the beginning of the year	No. of Complaints received against the TM*	Of the Complaints received during 2008-09								
					No. of Complaints								
					Resolved through the Exchange /IGRC	Non actionable**	Arbitration Advised	Pending for redressal with Exchange	No. of Arbitration filed by Clients	Decided by the Arbitration	Decided by Arbitration in favor of the clients	Pending for Redressal with Arbitrators	

*including against its sub-brokers, authorized persons, employees, etc.
 **Non actionable means the complaint that are incomplete / outside the scope of stock exchange
 (Arrange the Trading Members in descending number of complaints filed against them during the period)