Report 4A: Penal Actions against Trading Members during 2008-09: Updated 09.30.2009

Sl. No.	Name of Trading Member	Registration No.	No. of Complaints Received	Action against TM, its sub-broker / authorized person and employees together				
				No. of Penal Orders Issued		Monetary Penalties levied (Rs. lakh)		No. of Arbitration Award issued against
				For Complaints	For Others	For Complaints	For Others	
1								
2								
3								
4								
5								
6								
7								
8			**No Penal Actions Against Trading Members during said perild**					
9								
10								
N								