Report 4B: Penal Actions against Trading Members during 2009-10: Updated on 09.30.2009

Sl. No.	Name of Trading Member	Registration No.	No. of Complaints Received	Action against TM, its sub-broker / authorized person and employees together				
				No. of Penal Orders Issued		Monetary Penalties levied (Rs. lakh)		No. of Arbitration Award issued against
				For Complaints	For Others	For Complaints	For Others	
1								
2								
3								
4								
5								
6								
7		**No Penal**	**Actions Aga**	**nst Trading**	**Member**	**s during said**	**perild**	
8								
9								
10								
N								